

The best route to global liquidity starts here.

September 2001

September YTD 2001

<b>Top 50 Brokers YTD Third Quarter 2001</b>	<b>Advertised Vol (000)</b>	<b>% of Total</b>	<b>% of Vol. Crossed</b>
1 MERRILL LYNCH, PIERCE FENNER &	30,153,037	11.51	23.31
2 SALOMON SMITH BARNEY INC.	28,098,507	10.72	12.44
3 CREDIT SUISSE FIRST BOSTON	18,628,754	7.11	20.56
4 MORGAN STANLEY & COMPANY, INC.	16,942,000	6.46	12.63
5 UBS WARBURG	16,785,756	6.40	20.05
6 GOLDMAN, SACHS & COMPANY	16,077,633	6.13	24.16
7 LEHMAN BROTHERS, INC.	14,581,185	5.56	18.23
8 KNIGHT/TRIMARK GROUP INC.	11,742,789	4.48	10.21
9 BEAR STEARNS AND COMPANY	11,139,208	4.25	13.69
10 DEUTSCHE BANC ALEX. BROWN.	9,269,615	3.54	9.17
11 BANC OF AMERICA SECURITIES LLC	9,228,026	3.52	12.79
12 JP MORGAN H&Q	9,104,452	3.47	20.50
13 ABN-AMRO	5,524,491	2.11	5.67
14 PRUDENTIAL SECURITIES, INC.	5,188,951	1.98	13.62
15 ITG, INC.	4,186,365	1.60	58.21
16 SANFORD C. BERNSTEIN COMPANY,	2,990,803	1.14	9.62
17 SG COWEN SECURITIES CORP.	2,978,228	1.14	21.45
18 RBC DOMINION SECURITIES	2,925,175	1.12	0.00
19 ROBERTSON STEPHENS	2,492,646	0.95	19.70
20 CIBC WORLD MARKETS	2,357,095	0.90	15.06
21 FIRST UNION SECURITIES, INC.	2,207,173	0.84	20.87
22 SUSQUEHANNA INTERNATIONAL GRP.	2,190,770	0.84	0.00
23 JEFFERIES & COMPANY, INC.	2,132,609	0.81	34.24
24 LYNCH JONES & RYAN/INSTINET	2,071,219	0.79	19.80
25 WEEDEN & COMPANY, INC.	1,891,839	0.72	17.48
26 SCHWAB CAPITAL MARKETS L.P.	1,803,234	0.69	1.98
27 THOMAS WEISEL PARTNERS LLC	1,702,443	0.65	16.25
28 JONES & ASSOCIATES, INC.	1,362,171	0.52	39.53
29 OTA LIMITED PARTNERSHIP.	1,338,137	0.51	58.68
30 U.S. BANCORP PIPER JAFFRAY	1,259,730	0.48	12.60
31 CANTOR, FITZGERALD & CO., INC.	1,203,099	0.46	37.42
32 FIDELITY CAPITAL MARKETS	1,141,431	0.44	2.56
33 GERARD, KLAUER, MATTISON & COM	1,107,969	0.42	24.29
34 DRESDNER KLEINWORT WASSERSTEIN	868,405	0.33	11.68
35 LAZARD FRERES & CO	843,168	0.32	3.72
36 SOUNDVIEW TECHNOLOGY GROUP	821,451	0.31	4.34
37 AUTRANET, INC.	767,395	0.29	6.17
38 CAPITAL INSTITUTIONAL SERVICES	753,580	0.29	1.78
39 THE INTERSTATE GROUP	752,745	0.29	5.43
40 DAIN RAUSCHER WESSELS	717,859	0.27	15.09
41 LEGG MASON WOOD WALKER, INC.	717,599	0.27	12.42
42 ROBERT W. BAIRD CO. INCORPORAT	716,873	0.27	7.86
43 RAYMOND, JAMES & ASSOC. INC.	694,522	0.27	10.84
44 BNY ESI & COMPANY	692,952	0.26	2.53
45 WILLIAM BLAIR & COMPANY, LLC.	615,710	0.23	2.64
46 A.G. EDWARDS & SONS, INC.	583,417	0.22	10.84
47 SUNTRUST ROBINSON HUMPHREY	561,193	0.21	9.52
48 FIRST ALBANY CORPORATION	557,794	0.21	4.88
49 GARBAN GIORGIO EQUITY TRADING	551,568	0.21	5.52
50 ROCHDALE SECS CORP	479,441	0.18	0.49

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<b>NASDAQ / OTC Securities Top 50 Brokers</b>	<b>Advertised Vol (000)</b>	<b>% of Total</b>	<b># of Sec Traded</b>
1 KNIGHT SECURITIES, INC.	71,662,896	15.50	8,056
2 HERZOG HEINE GEDULD, INC.	43,484,137	9.40	8,110
3 SCHWAB CAPITAL MARKETS L.P.	34,172,049	7.39	8,515
4 SALOMON SMITH BARNEY INC.	26,770,392	5.79	2,048
5 MORGAN STANLEY & COMPANY, INC.	25,227,758	5.45	2,338
6 CREDIT SUISSE FIRST BOSTON	19,388,111	4.19	1,784
7 MERRILL LYNCH, PIERCE FENNER &	19,159,702	4.14	906
8 SPEAR, LEEDS & KELLOGG CAPITA	18,564,265	4.01	5,909
9 GOLDMAN, SACHS & COMPANY	16,907,839	3.66	523
10 NDB CAPITAL MARKETS	12,849,329	2.78	4,966
11 LEHMAN BROTHERS, INC.	11,954,658	2.58	665
12 BANC OF AMERICA SECURITIES LLC	11,877,963	2.57	780
13 UBS WARBURG	10,572,520	2.29	2,617
14 ROBERTSON STEPHENS	9,373,329	2.03	690
15 BEAR STEARNS AND COMPANY	9,368,693	2.03	1,484
16 DEUTSCHE BANC ALEX. BROWN.	9,278,379	2.01	2,460
17 JP MORGAN H&Q	8,662,018	1.87	1,047
18 FLEET TRADING	8,511,204	1.84	6,075
19 PRUDENTIAL SECURITIES, INC.	6,550,658	1.42	741
20 JEFFERIES & COMPANY, INC.	5,722,545	1.24	2,403
21 THOMAS WEISEL PARTNERS LLC	5,685,291	1.23	386
22 M.H. MEYERSON & CO., INC.	5,394,354	1.17	3,669
23 FIDELITY CAPITAL MARKETS	5,284,477	1.14	776
24 CANTOR, FITZGERALD & CO., INC.	4,794,782	1.04	1,691
25 U.S. BANCORP PIPER JAFFRAY	4,338,442	0.94	572
26 CIBC WORLD MARKETS	4,287,059	0.93	640
27 FIRST UNION SECURITIES, INC.	4,264,911	0.92	647
28 SOUNDVIEW TECHNOLOGY GROUP	3,791,778	0.82	275
29 SG COWEN SECURITIES CORP.	3,679,937	0.80	408
30 SUSQUEHANNA INTERNATIONAL GRP.	3,481,947	0.75	965
31 DAIN RAUSCHER WESSELS	3,236,241	0.70	639
32 ABN-AMRO	2,570,002	0.56	578
33 LADENBURG THALMANN & CO., INC	1,845,789	0.40	1,797
34 ROBERT W. BAIRD CO. INCORPORAT	1,482,104	0.32	302
35 RAYMOND, JAMES & ASSOC. INC.	1,336,290	0.29	541
36 GERARD, KLAUER, MATTISON & COM	1,326,242	0.29	334
37 WEEDEN & COMPANY, INC.	1,214,944	0.26	1,264
38 RBC DOMINION SECURITIES	1,167,021	0.25	631
39 ADAMS, HARKNESS & HILL, INC.	1,119,169	0.24	486
40 LEGG MASON WOOD WALKER, INC.	1,094,233	0.24	326
41 NEEDHAM & COMPANY	1,028,393	0.22	379
42 FAHNESTOCK & CO.	986,784	0.21	698
43 A.G. EDWARDS & SONS, INC.	951,755	0.21	303
44 INVESTEC ERNST & COMPANY	885,793	0.19	1,065
45 C.E. UNTERBERG, TOWBIN	857,639	0.19	319
46 WILLIAM BLAIR & COMPANY, LLC.	790,673	0.17	280
47 PAINWEBBER INC.	722,714	0.16	337
48 NATIONAL SECURITIES	671,692	0.15	766
49 SUNTRUST ROBINSON HUMPHREY	653,275	0.14	414
50 SOUTHWEST SECURITIES, INC.	636,861	0.14	885

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**Advertised Trade Volume**

**Listed Securities**

**Non NY Brokers**

**Top 25 Brokers**

**Broker-Dealer**

Advertised  
Vol.(000)

% of Vol.  
Crossed

1	UBS WARBURG	16,760,812	20.06
2	BANC OF AMERICA SECURITIES LLC	9,215,572	12.79
3	ABN-AMRO	5,511,279	5.67
4	ROBERTSON STEPHENS	2,488,301	19.7
5	FIRST UNION SECURITIES, INC.	2,205,453	20.88
6	WEEDEN & COMPANY, INC.	1,889,866	17.49
7	THOMAS WEISEL PARTNERS LLC	1,701,398	16.26
8	JONES & ASSOCIATES, INC.	1,361,861	39.54
9	OTA LIMITED PARTNERSHIP.	1,335,484	58.68
10	U.S. BANCORP PIPER JAFFRAY	1,255,322	12.62
11	CANTOR, FITZGERALD & CO., INC.	1,202,180	37.44
12	FIDELITY CAPITAL MARKETS	1,139,656	2.57
13	CAPITAL INSTITUTIONAL SERVICES	752,809	1.78
14	THE INTERSTATE GROUP	752,176	5.43
15	LEGG MASON WOOD WALKER, INC.	716,664	12.44
16	ROBERT W. BAIRD CO. INCORPORAT	716,241	7.86
17	DAIN RAUSCHER WESSELS	716,148	15.11
18	RAYMOND, JAMES & ASSOC. INC.	693,697	10.85
19	WILLIAM BLAIR & COMPANY, LLC.	613,988	2.65
20	A.G. EDWARDS & SONS, INC.	580,999	10.87
21	FIRST ALBANY CORPORATION	557,484	4.88
22	HOWARD, WEIL, LABOUISSIE, FRE	279,825	2.07
23	FRIEDMAN, BILLINGS, RAMSEY & C	244,175	5.65
24	JOHNSON RICE & COMPANY	173,412	0.23
25	MORGAN KEEGAN & CO., INC.	164,320	3.09

**Advertised Trade Volume**

**NASDAQ / OTC Securities**

**Non NY Brokers**

**Top 25 Brokers**

**Broker-Dealer**

Advertised  
Vol.(000)

# of  
Stocks

1	BANC OF AMERICA SECURITIES LLC	11,892,838	781
2	UBS WARBURG	10,597,464	2,618
3	ROBERTSON STEPHENS	9,377,674	691
4	DEUTSCHE BANC ALEX. BROWN.	9,286,921	2,461
5	JEFFERIES & COMPANY, INC.	5,724,873	2,405
6	THOMAS WEISEL PARTNERS LLC	5,686,336	387
7	U.S. BANCORP PIPER JAFFRAY	4,342,850	573
8	FIRST UNION SECURITIES, INC.	4,266,631	648
9	DAIN RAUSCHER WESSELS	3,237,952	640
10	ROBERT W. BAIRD CO. INCORPORAT	1,482,736	303
11	RAYMOND, JAMES & ASSOC. INC.	1,337,116	542
12	WEEDEN & COMPANY, INC.	1,216,917	1,265
13	ADAMS, HARKNESS & HILL, INC.	1,119,736	487
14	LEGG MASON WOOD WALKER, INC.	1,095,168	327
15	A.G. EDWARDS & SONS, INC.	954,173	304
16	WILLIAM BLAIR & COMPANY, LLC.	792,395	281
17	SOUTHWEST SECURITIES, INC.	636,861	885
18	FIRST ALBANY CORPORATION	481,510	211
19	JONES & ASSOCIATES, INC.	445,214	829
20	W.R. HAMBRECHT & COMPANY, LLC	417,327	354
21	FRIEDMAN, BILLINGS, RAMSEY & C	368,167	440
22	PACIFIC GROWTH EQUITIES	310,416	227
23	WEDBUSH MORGAN SECURITIES	296,770	250
24	PACIFIC CREST SECURITIES	256,630	145
25	MORGAN KEEGAN & CO., INC.	241,606	247

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Ordinary Securities (Ex-Brazil) Top 25 Brokers	Advertised Vol (000)	% of Total	# of Stocks
1 DEUTSCHE BANC ALEX. BROWN.	498,061,557	18.77	5,877
2 MORGAN STANLEY & COMPANY, INC.	306,174,824	11.54	6,758
3 SALOMON SMITH BARNEY INC.	294,747,451	11.11	7,613
4 UBS WARBURG	261,132,299	9.84	9,214
5 CREDIT SUISSE FIRST BOSTON	243,948,107	9.19	5,612
6 GOLDMAN, SACHS & COMPANY	182,499,485	6.88	5,551
7 MERRILL LYNCH, PIERCE FENNER &	167,298,627	6.30	11,917
8 ABN-AMRO	148,746,343	5.60	5,697
9 ING BARINGS	124,151,379	4.68	3,799
10 LEHMAN BROTHERS, INC.	99,265,057	3.74	4,858
11 DRESDNER KLEINWORT WASSERSTEIN	73,392,713	2.77	6,243
12 HSBC SECURITIES	68,765,907	2.59	7,065
13 JP MORGAN H&Q	48,090,225	1.81	2,397
14 SANTANDER INVESTMENT SECURITIE	16,342,746	0.62	522
15 SOCIETE GENERALE	14,543,321	0.55	4,774
16 COMMERZ BANK	13,010,248	0.49	1,602
17 EUROMOBILIARE SIM SPA	9,281,362	0.35	228
18 BNP PARIBAS	7,631,135	0.29	2,763
19 BANC OF AMERICA SECURITIES LLC	5,885,408	0.22	626
20 ROBERT FLEMING, INC.	5,766,982	0.22	1,392
21 CAZENOVE SECURITIES	5,574,230	0.21	869
22 WINTERFLOOD SECURITIES LTD	5,497,513	0.21	1,367
23 E*TRADE INSTITUTIONAL SECURITI	4,878,802	0.18	2,778
24 WESTLB PANMURE LIMITED	4,640,943	0.17	1,361
25 KNIGHT SECURITIES, INC.	4,555,785	0.17	2,189

Ordinary Securities Top 25 Brokers CANADA	Advertised Vol (000)	% of Total	# of Stocks
1 MERRILL LYNCH, PIERCE FENNER &	3,930,541	24.55	1,585
2 RBC DOMINION SECURITIES	3,875,643	24.21	1,082
3 MORGAN STANLEY & COMPANY, INC.	2,642,072	16.50	394
4 CREDIT SUISSE FIRST BOSTON	807,533	5.04	270
5 GOLDMAN, SACHS & COMPANY	724,274	4.52	473
6 NESBITT BURNS SECURITIES	676,771	4.23	275
7 SALOMON SMITH BARNEY INC.	434,705	2.72	415
8 KNIGHT SECURITIES, INC.	274,300	1.71	526
9 CIBC WORLD MARKETS	273,450	1.71	79
10 KNIGHT/TRIMARK GROUP INC.	241,081	1.51	94
11 SCHWAB CAPITAL MARKETS L.P.	189,630	1.18	589
12 HERZOG HEINE GEDULD, INC.	166,459	1.04	391
13 UBS WARBURG	160,939	1.01	118
14 TORONTO DOMINION SECURITIES (U	140,708	0.88	104
15 LEHMAN BROTHERS, INC.	116,065	0.72	90
16 DEUTSCHE BANC ALEX. BROWN.	96,294	0.60	92
17 JP MORGAN H&Q	90,006	0.56	51
18 BANC OF AMERICA SECURITIES LLC	78,151	0.49	70
19 SPEAR, LEEDS & KELLOGG CAPITA	68,639	0.43	156
20 BEAR STEARNS AND COMPANY	66,884	0.42	73
21 FLEET TRADING	66,533	0.42	213
22 SANTANDER INVESTMENT SECURITIE	65,078	0.41	34
23 NDB CAPITAL MARKETS	60,687	0.38	107
24 ING BARINGS	60,323	0.38	33
25 PRUDENTIAL SECURITIES, INC.	38,468	0.24	75

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Ordinary Securities Top 15 Brokers - Europe	Advertised Vol (000)	% of Total	# of Stocks
1 DEUTSCHE BANC ALEX. BROWN.	143,154,549	16.98	3,072
2 UBS WARBURG	90,366,284	10.72	4,460
3 MERRILL LYNCH, PIERCE FENNER &	78,324,271	9.29	4,132
4 CREDIT SUISSE FIRST BOSTON	73,894,876	8.77	2,908
5 MORGAN STANLEY & COMPANY, INC.	58,171,621	6.90	2,412
6 SALOMON SMITH BARNEY INC.	53,650,078	6.36	3,113
7 DRESDNER KLEINWORT WASSERSTEIN	50,652,309	6.01	3,599
8 ABN-AMRO	48,170,599	5.71	3,197
9 GOLDMAN, SACHS & COMPANY	47,843,498	5.68	2,264
10 LEHMAN BROTHERS, INC.	46,590,285	5.53	2,441
11 JP MORGAN H&Q	29,445,025	3.49	1,675
12 HSBC SECURITIES	15,733,832	1.87	3,283
13 SOCIETE GENERALE	12,684,065	1.50	2,247
14 COMMERZ BANK	12,367,893	1.47	1,407
15 SANTANDER INVESTMENT SECURITIE	11,654,059	1.38	221

Ordinary Securities Top 15 Brokers Far East / Pac Rim	Advertised Vol (000)	% of Total	# of Stocks
1 MERRILL LYNCH, PIERCE FENNER &	75,823,313	21.57	5,409
2 MORGAN STANLEY & COMPANY, INC.	51,430,127	14.63	3,416
3 SALOMON SMITH BARNEY INC.	43,230,143	12.30	3,526
4 GOLDMAN, SACHS & COMPANY	35,127,548	9.99	2,528
5 UBS WARBURG	32,763,120	9.32	3,953
6 DEUTSCHE BANC ALEX. BROWN.	28,209,379	8.02	2,427
7 HSBC SECURITIES	17,880,242	5.09	3,282
8 ING BARINGS	16,629,846	4.73	2,009
9 LEHMAN BROTHERS, INC.	15,545,074	4.42	2,065
10 ABN-AMRO	9,814,408	2.79	2,046
11 DRESDNER KLEINWORT WASSERSTEIN	8,418,504	2.39	2,210
12 ROBERT FLEMING, INC.	5,588,990	1.59	1,258
13 E*TRADE INSTITUTIONAL SECURITI	3,737,821	1.06	1,791
14 CREDIT SUISSE FIRST BOSTON	1,636,219	0.47	1,902
15 SOCIETE GENERALE	1,476,852	0.42	2,370

Ordinary Securities Top 15 Brokers - Latin America	Advertised Vol (000)	% of Total	# of Stocks
1 MORGAN STANLEY & COMPANY, INC.	4,504,657	17.36	115
2 SANTANDER INVESTMENT SECURITIE	4,313,881	16.62	235
3 MERRILL LYNCH, PIERCE FENNER &	3,770,030	14.53	118
4 CREDIT SUISSE FIRST BOSTON	2,723,981	10.50	90
5 UBS WARBURG	2,309,021	8.90	161
6 GOLDMAN, SACHS & COMPANY	2,050,834	7.90	103
7 JP MORGAN H&Q	2,008,942	7.74	139
8 BEAR STEARNS AND COMPANY	1,019,843	3.93	105
9 SALOMON SMITH BARNEY INC.	978,603	3.77	109
10 BBV SECURITIES	624,598	2.41	114
11 DEUTSCHE BANC ALEX. BROWN.	618,319	2.38	26
12 LEHMAN BROTHERS, INC.	570,456	2.20	59
13 DRESDNER KLEINWORT WASSERSTEIN	226,192	0.87	81
14 CREDIT LYONNAIS	154,838	0.60	56
15 ABN-AMRO	19,456	0.07	6

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<b>ADR Securities</b>	<b>Advertised</b>	<b>% of</b>	<b># of</b>
<b>Top 15 Brokers - Argentina</b>	<b>Vol (000)</b>	<b>Total</b>	<b>Stocks</b>
1 GOLDMAN, SACHS & COMPANY	63,753	12.20	12
2 MERRILL LYNCH, PIERCE FENNER &	63,420	12.14	16
3 MORGAN STANLEY & COMPANY, INC.	57,600	11.02	10
4 SALOMON SMITH BARNEY INC.	46,624	8.92	12
5 UBS WARBURG	46,278	8.86	13
6 JP MORGAN H&Q	37,567	7.19	11
7 BEAR STEARNS AND COMPANY	35,645	6.82	10
8 SANTANDER INVESTMENT SECURITIE	30,912	5.92	11
9 CREDIT SUISSE FIRST BOSTON	29,545	5.65	13
10 DEUTSCHE BANC ALEX. BROWN.	28,750	5.50	10
11 ABN-AMRO	20,594	3.94	9
12 SPEAR, LEEDS & KELLOGG CAPITA	18,305	3.50	5
13 LEHMAN BROTHERS, INC.	10,373	1.98	13
14 LAZARD FRERES & CO	6,862	1.31	2
15 DRESDNER KLEINWORT WASSERSTEIN	4,150	0.79	14

<b>ADR Securities</b>	<b>Advertised</b>	<b>% of</b>	<b># of</b>
<b>Top 15 Brokers - Hong Kong</b>	<b>Vol (000)</b>	<b>Total</b>	<b>Stocks</b>
1 MORGAN STANLEY & COMPANY, INC.	147,975	16.76	15
2 MERRILL LYNCH, PIERCE FENNER &	144,844	16.40	56
3 ING BARINGS	86,046	9.74	14
4 SALOMON SMITH BARNEY INC.	60,025	6.80	26
5 UBS WARBURG	58,723	6.65	28
6 HSBC SECURITIES	53,307	6.04	18
7 GOLDMAN, SACHS & COMPANY	53,292	6.03	20
8 LEHMAN BROTHERS, INC.	51,647	5.85	7
9 CREDIT SUISSE FIRST BOSTON	45,637	5.17	13
10 DEUTSCHE BANC ALEX. BROWN.	34,293	3.88	12
11 ABN-AMRO	20,258	2.29	18
12 JP MORGAN H&Q	18,719	2.12	7
13 KNIGHT/TRIMARK GROUP INC.	16,748	1.90	10
14 HERZOG HEINE GEDULD, INC.	16,699	1.89	45
15 DRESDNER KLEINWORT WASSERSTEIN	12,581	1.42	13

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<b>Ordinary Securities</b>	<b>Advertised</b>	<b>% of</b>	<b># of</b>
<b>Top 25 Brokers - UK</b>	<b><u>Vol (000)</u></b>	<b><u>Total</u></b>	<b><u>Stocks</u></b>
1 DEUTSCHE BANC ALEX. BROWN.	85,788,901	16.73	1,138
2 UBS WARBURG	67,964,801	13.26	1,611
3 MERRILL LYNCH, PIERCE FENNER &	59,708,201	11.65	1,935
4 CREDIT SUISSE FIRST BOSTON	49,267,528	9.61	1,129
5 DRESDNER KLEINWORT WASSERSTEIN	35,396,971	6.90	1,292
6 SALOMON SMITH BARNEY INC.	32,350,772	6.31	1,092
7 GOLDMAN, SACHS & COMPANY	31,464,582	6.14	782
8 LEHMAN BROTHERS, INC.	27,415,920	5.35	724
9 ABN-AMRO	26,528,941	5.17	972
10 MORGAN STANLEY & COMPANY, INC.	21,550,274	4.20	680
11 JP MORGAN H&Q	14,409,021	2.81	487
12 HSBC SECURITIES	12,346,331	2.41	1,133
13 COMMERZ BANK	5,880,830	1.15	350
14 CAZENOVE SECURITIES	5,459,899	1.06	598
15 WINTERFLOOD SECURITIES LTD	5,302,468	1.03	1,312
16 WESTLB PANMURE LIMITED	4,386,815	0.86	780
17 SOCIETE GENERALE	3,904,477	0.76	600
18 BANC OF AMERICA SECURITIES LLC	3,679,403	0.72	174
19 KNIGHT SECURITIES, INC.	3,587,034	0.70	1,144
20 PEEL, HUNT & COMPANY LTD	3,057,626	0.60	453
21 ING BARINGS	2,628,777	0.51	565
22 COASTAL SECURITIES LTD.	2,443,073	0.48	472
23 CHARLES STANLEY AND COMPANY	1,279,476	0.25	1,047
24 BNP PARIBAS	767,071	0.15	311
25 TEATHER & GREENWOOD	690,854	0.13	458

<b>Listed Securities</b>	<b>Average</b>	<b># of</b>
<b>Top 10 Brokers</b>	<b><u>Vol (000)</u></b>	<b><u>Stocks</u></b>
<b>Average Vol. Per Security</b>		
1 MERRILL LYNCH, PIERCE FENNER &	11,678.17	2,582
2 SALOMON SMITH BARNEY INC.	10,307.60	2,726
3 MORGAN STANLEY & COMPANY, INC.	8,168.76	2,074
4 KBC FINANCIAL HOLDINGS INC.	8,000.00	1
5 CREDIT SUISSE FIRST BOSTON	7,678.79	2,426
6 LEHMAN BROTHERS, INC.	7,466.04	1,953
7 EUROMOBILIARE SIM SPA	7,427.50	2
8 UBS WARBURG	7,263.42	2,311
9 GOLDMAN, SACHS & COMPANY	7,251.98	2,217
10 HERZOG HEINE GEDULD, INC.	6,643.67	12

<b>NASDAQ/OTC Securities</b>	<b>Average</b>	<b># of</b>
<b>Top 10 Brokers</b>	<b><u>Vol (000)</u></b>	<b><u>Stocks</u></b>
<b>Average Vol. Per Security</b>		
1 GOLDMAN, SACHS & COMPANY	32,074.61	522
2 MERRILL LYNCH, PIERCE FENNER &	21,109.99	905
3 LEHMAN BROTHERS, INC.	17,763.89	663
4 BANC OF AMERICA SECURITIES LLC	15,220.99	779
5 THOMAS WEISEL PARTNERS LLC	14,766.77	385
6 SOUNDVIEW TECHNOLOGY GROUP	13,833.70	274
7 ROBERTSON STEPHENS	13,600.57	689
8 SALOMON SMITH BARNEY INC.	13,043.80	2,046
9 CREDIT SUISSE FIRST BOSTON	10,843.93	1,783
10 MORGAN STANLEY & COMPANY, INC.	10,763.49	2,336