

The best route to global liquidity starts here.

September 2006 YTD

Special Insert

NASDAQ – National Market & Small Cap Market Top 25 (Securities Traded 1-100)	Adv. Vol. (000)	# of Stocks
1ING FINANCIAL MARKETS	2412.80	5
2MCMAHAN SECURITIES COMPANY, LT	2335.33	3
3SHORE CAPITAL STOCKBROKERS LTD	1600.00	1
4EVOLUTION SECURITIES	1144.67	3
5DAHLMAN ROSE & CO., LLC.	755.20	65
6TEJAS SECURITIES GROUP	723.24	42
7HEFLIN & CO., LLC	688.50	30
8IMPERIAL CAPITAL, LLC	522.33	48
9EXANE BNP PARIBAS	459.00	2
10MILLER TABAK HIRSCH & CO.	398.48	21
11FELTL & COMPANY	337.00	39
12LABRANCHE FINANCIAL SERVICES	296.58	76
13COKER, PALMER, PHILLIPS & MULL	275.65	57
14EMERGING GROWTH EQUITIES, LTD	266.95	22
15CAZENOVE SECURITIES	264.33	3
16MONTGOMERY & COMPANY	249.78	45
17THE BUCKINGHAM RESEARCH GROUP,	227.98	93
18UTENDAHL CAPITAL PARTNERS.	216.43	14
19HUNTLEIGH SECURITIES CORP.	207.62	13
20TORONTO DOMINION SECURITIES (U	202.16	97
21GUNN ALLEN FINANCIAL	181.04	46
22FIRST ANALYSIS	145.71	14
23PETRIE PARKMAN AND CO., INC.	144.86	22
24ARDEN PARTNERS	140.00	1
25WILLIAM O'NEIL & CO., INC.	140.00	3

NASDAQ – National Market & Small Cap Market Top 25 (Securities Traded 1-250)	Adv. Vol. (000)	# of Stocks
1MILLER, JOHNSON, STEICHEN, KIN	3111.38	248
2CRAIG-HALLUM CAPITAL GROUP	2521.45	114
3ING FINANCIAL MARKETS	2412.80	5
4MCMAHAN SECURITIES COMPANY, LT	2335.33	3
5SHORE CAPITAL STOCKBROKERS LTD	1600.00	1
6EVOLUTION SECURITIES	1144.67	3
7DOUGHERTY & COMPANY LLC	1124.43	108
8SANDERS MORRIS HARRIS	1035.11	137
9DAHLMAN ROSE & CO., LLC.	755.20	65
10TEJAS SECURITIES GROUP	723.24	42
11HEFLIN & CO., LLC	688.50	30
12FERRIS BAKER WATTS & CO.,INC	664.90	197
13IMPERIAL CAPITAL, LLC	522.33	48

NOTE: ** Indicates a broker who is not certified to the AutEx Trade Reporting Rules and Charter.

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14EXANE BNP PARIBAS	459.00	2
15KAUFMAN BROS., L.P.	451.67	152
16AMERICAN TECHNOLOGY RESEARCH	439.02	128
17AVIAN SECURITIES	421.71	224
18New Broker - acronym BMOC	409.82	177
19FOX-PITT KELTON, INC.	406.02	223
20MILLER TABAK HIRSCH & CO.	398.48	21
21CALYON SECURITIES USA	395.69	231
22CARIS & COMPANY	358.76	174
23FELTL & COMPANY	337.00	39
24ABN-AMRO	325.99	193
25B. RILEY AND CO	325.21	135

NASDAQ – National Market & Small Cap Market Top 25 (Securities Traded 1-500)	Adv. Vol. (000)	# of Stocks
1PACIFIC CREST SECURITIES	9425.23	270
2C.E. UNTERBERG, TOWBIN	3757.62	306
3MILLER, JOHNSON, STEICHEN, KIN	3111.38	248
4CRAIG-HALLUM CAPITAL GROUP	2521.45	114
5ING FINANCIAL MARKETS	2412.80	5
6MCMAHAN SECURITIES COMPANY, LT	2335.33	3
7CRT CAPITAL GROUP LLC	2101.86	382
8A.G. EDWARDS & SONS, INC.	1886.57	497
9SHORE CAPITAL STOCKBROKERS LTD	1600.00	1
10J.P. TURNER & CO.	1247.45	260
11MORGAN KEEGAN & CO., INC.	1240.81	298
12EVOLUTION SECURITIES	1144.67	3
13DOUGHERTY & COMPANY LLC	1124.43	108
14WEDBUSH MORGAN SECURITIES	1079.81	431
15SANDERS MORRIS HARRIS	1035.11	137
16D.A. DAVIDSON & CO.	812.43	345
17DAHLMAN ROSE & CO., LLC.	755.20	65
18TEJAS SECURITIES GROUP	723.24	42
19HEFLIN & CO., LLC	688.50	30
20FERRIS BAKER WATTS & CO.,INC	664.90	197
21MCDONALD INVESTMENTS, INC.	635.94	443
22STEPHENS INC.	586.61	348
23IMPERIAL CAPITAL, LLC	522.33	48
24LAZARD CAPITAL MARKETS	509.58	371
25GARBAN INSTITUTIONAL	473.76	253

NASDAQ – National Market & Small Cap Market Top 25 (Securities Traded 1-750)	Adv. Vol. (000)	# of Stocks
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1PACIFIC CREST SECURITIES	9425.23	270
2FIRST ALBANY CORPORATION	8684.94	572
3NEEDHAM & COMPANY	8132.29	665
4ROBERT W. BAIRD CO. INCORPORAT	7307.16	565
5BMO CAPITAL MARKETS	3900.51	523
6THINKEQUITY PARTNERS	3786.47	739
7C.E. UNTERBERG, TOWBIN	3757.62	306
8MILLER, JOHNSON, STEICHEN, KIN	3111.38	248
9CRAIG-HALLUM CAPITAL GROUP	2521.45	114
10LEERINK SWANN & CO.	2471.77	577
11ING FINANCIAL MARKETS	2412.80	5
12MCMAHAN SECURITIES COMPANY, LT	2335.33	3
13CRT CAPITAL GROUP LLC	2101.86	382
14A.G. EDWARDS & SONS, INC.	1886.57	497
15SHORE CAPITAL STOCKBROKERS LTD	1600.00	1
16PACIFIC GROWTH EQUITIES	1402.39	603
17J.P. TURNER & CO.	1247.45	260
18MORGAN KEEGAN & CO., INC.	1240.81	298
19JMP SECURITIES	1213.72	574
20EVOLUTION SECURITIES	1144.67	3
21DOUGHERTY & COMPANY LLC	1124.43	108
22WEDBUSH MORGAN SECURITIES	1079.81	431
23SANDERS MORRIS HARRIS	1035.11	137
24D.A. DAVIDSON & CO.	812.43	345
25DAHLMAN ROSE & CO., LLC.	755.20	65

Listed Brokers	Adv.	# of
Top 25 (Securities Traded 1-500)	Vol. (000)	Stocks
1PEEL, HUNT & COMPANY LTD	9229.00	1
2MCMAHAN SECURITIES COMPANY, LT	6594.60	5
3BARNARD JACOBS MELLET	2733.17	6
4HUNTLEIGH SECURITIES CORP.	2204.50	2
5TD WATERHOUSE CAPITAL MARKETS	2143.33	15
6EVOLUTION SECURITIES	2019.47	45
7PACIFIC CREST SECURITIES	1330.50	133
8WILLIAMS CAPITAL GROUP, L.P.	907.14	7
9SHORE CAPITAL STOCKBROKERS LTD	845.00	5
10IMPERIAL CAPITAL, LLC	613.41	125
11GREEN STREET ADVISORS	573.63	432
12CRAIG-HALLUM CAPITAL GROUP	550.27	11
13DAHLMAN ROSE & CO., LLC.	512.54	167
14TEJAS SECURITIES GROUP	481.20	20
15WILLIAM O'NEIL & CO., INC.	454.55	11
16CALYON SECURITIES USA	444.96	254

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17CAZENOVE SECURITIES	402.50	2
18KEPLER EQUITIES	349.18	209
19FERRIS BAKER WATTS & CO.,INC	344.77	106
20CARIS & COMPANY	296.63	209
21AMERICAN TECHNOLOGY RESEARCH	295.26	57
22SANDERS MORRIS HARRIS	277.77	120
23PETRIE PARKMAN AND CO., INC.	276.28	178
24PALI CAPITAL LLC	274.72	431
25ALLEN AND COMPANY, INC.	272.50	2

Listed Brokers	Adv.	# of
Top 25 (Securities Traded 1-1000)	Vol. (000)	Stocks
1PEEL, HUNT & COMPANY LTD	9229.00	1
2MCMAHAN SECURITIES COMPANY, LT	6594.60	5
3BARNARD JACOBS MELLET	2733.17	6
4HUNTLEIGH SECURITIES CORP.	2204.50	2
5TD WATERHOUSE CAPITAL MARKETS	2143.33	15
6EVOLUTION SECURITIES	2019.47	45
7LEERINK SWANN & CO.	1943.89	544
8NEEDHAM & COMPANY	1626.97	677
9PACIFIC CREST SECURITIES	1330.50	133
10CRT CAPITAL GROUP LLC	1021.14	595
11WILLIAMS CAPITAL GROUP, L.P.	907.14	7
12MORGAN KEEGAN & CO., INC.	894.12	951
13SHORE CAPITAL STOCKBROKERS LTD	845.00	5
14ABN-AMRO	659.26	553
15IMPERIAL CAPITAL, LLC	613.41	125
16FOX-PITT KELTON, INC.	610.13	669
17JMP SECURITIES	594.61	937
18GREEN STREET ADVISORS	573.63	432
19CRAIG-HALLUM CAPITAL GROUP	550.27	11
20THINKEQUITY PARTNERS	534.55	659
21SIMMONS AND COMPANY INTERNATIO	530.65	591
22DAHLMAN ROSE & CO., LLC.	512.54	167
23TEJAS SECURITIES GROUP	481.20	20
24FIRST ALBANY CORPORATION	475.91	746
25WILLIAM O'NEIL & CO., INC.	454.55	11

Listed Brokers	Adv.	# of
Top 25 (Securities Traded 1-1750)	Vol. (000)	Stocks
1PEEL, HUNT & COMPANY LTD	9229.00	1

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2MCMAHAN SECURITIES COMPANY, LT	6594.60	5
3RBC CAPITAL MARKETS	5425.66	1676
4BARNARD JACOBS MELLET	2733.17	6
5THOMAS WEISEL PARTNERS LLC	2405.18	1309
6COWEN & CO.	2214.82	1650
7HUNTLEIGH SECURITIES CORP.	2204.50	2
8TD WATERHOUSE CAPITAL MARKETS	2143.33	15
9WACHOVIA SECURITIES	2027.56	1390
10EVOLUTION SECURITIES	2019.47	45
11LEERINK SWANN & CO.	1943.89	544
12SANFORD C. BERNSTEIN COMPANY,	1827.15	1416
13NEEDHAM & COMPANY	1626.97	677
14PRUDENTIAL SECURITIES, INC.	1522.00	1167
15FRIEDMAN, BILLINGS, RAMSEY & C	1507.80	1318
16JONESTRADING INSTITUTIONAL SER	1341.79	1726
17PACIFIC CREST SECURITIES	1330.50	133
18DRESDNER KLEINWORT WASSERSTEIN	1101.33	1162
19CRT CAPITAL GROUP LLC	1021.14	595
20BMO CAPITAL MARKETS	968.49	1190
21WILLIAMS CAPITAL GROUP, L.P.	907.14	7
22MORGAN KEEGAN & CO., INC.	894.12	951
23WILLIAM BLAIR & COMPANY, LLC.	864.73	1409
24SHORE CAPITAL STOCKBROKERS LTD	845.00	5
25STIFEL NICOLAUS CAPITAL MARKET	738.53	1638

Listed Brokers	Adv.	# of
Top 25 (Securities Traded 1-2500)	Vol. (000)	Stocks
1PEEL, HUNT & COMPANY LTD	9229.00	1
2BEAR STEARNS AND COMPANY	8746.51	2177
3JPMORGAN	7178.40	2161
4DEUTSCHE BANK SECURITIES, IN	6914.88	2279
5MCMAHAN SECURITIES COMPANY, LT	6594.60	5
6BANC OF AMERICA SECURITIES LLC	6472.44	2345
7RBC CAPITAL MARKETS	5425.66	1676
8E-TRADE CAPITAL MARKETS	4911.57	2048
9FIDELITY CAPITAL MARKETS	2997.88	2148
10BARNARD JACOBS MELLET	2733.17	6
11THOMAS WEISEL PARTNERS LLC	2405.18	1309
12COWEN & CO.	2214.82	1650
13HUNTLEIGH SECURITIES CORP.	2204.50	2
14TD WATERHOUSE CAPITAL MARKETS	2143.33	15
15ITG, INC.	2036.19	1874
16WACHOVIA SECURITIES	2027.56	1390
17EVOLUTION SECURITIES	2019.47	45

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18JEFFERIES & COMPANY, INC.	2011.39	2461
19LEERINK SWANN & CO.	1943.89	544
20SANFORD C. BERNSTEIN COMPANY,	1827.15	1416
21RAYMOND, JAMES & ASSOC. INC.	1803.41	1875
22WEEDEN & COMPANY, INC.	1679.80	1841
23NEEDHAM & COMPANY	1626.97	677
24CANTOR, FITZGERALD & CO., INC.	1579.83	1895
25CIBC WORLD MARKETS	1567.42	2001