



Sept 2008 YTD

3rd Quarter 2008

Listed Securities Top 50 Brokers	Advertised Vol (000)	% of Total	% of Vol. Crossed
1UBS	56,475,567	11.6	6
2MORGAN STANLEY	55,550,059	11.41	0
3MERRILL LYNCH, PIERCE FENNER	52,879,551	10.86	0
4KNIGHT	41,253,851	8.47	6
5CREDIT SUISSE	34,814,081	7.15-	
6DEUTSCHE BANK SECURITIES, IN	32,047,327	6.58	2
7CITIGROUP	29,589,068	6.08	3
8LEHMAN BROTHERS, INC.	24,852,538	5.1	0
9JPMORGAN	24,213,614	4.97	6
10INSTINET CORPORATION	16,311,933	3.35	3
11RBC CAPITAL MARKETS	13,186,522	2.71	7
12GOLDMAN SACHS GROUP	12,272,630	2.52	12
13BANC OF AMERICA SECURITIES LLC	11,574,795	2.38	2
14ITG, INC.	7,848,942	1.61	41
15JEFFERIES & COMPANY, INC.	7,738,858	1.59	12
16WEEDEN & COMPANY, INC.	4,927,340	1.01	16
17BEAR STEARNS AND COMPANY	4,447,755	0.91	8
18SANFORD C. BERNSTEIN COMPANY,	4,000,058	0.82	9
19CANTOR, FITZGERALD & CO., INC.	3,342,867	0.69	14
20WACHOVIA SECURITIES	2,955,056	0.61	6
21BNY CONVERGEX	2,936,410	0.6	2
22SUSQUEHANNA INTERNATIONAL GRP.	2,611,541	0.54	1
23OPPENHEIMER & CO	2,555,464	0.52	0
24JONESTRADING INSTITUTIONAL SER	2,354,258	0.48	31
25FRIEDMAN, BILLINGS, RAMSEY & C	2,091,577	0.43	9
26COWEN & CO.	2,059,588	0.42	10
27ROBERT W. BAIRD CO. INCORPORAT	1,969,930	0.4	4
28STIFEL NICOLAUS CAPITAL MARKET	1,873,780	0.38	6
29RAYMOND JAMES	1,860,852	0.38	3
30BTIG - BASS TRADING	1,830,933	0.38	13
31PIPER JAFFRAY & CO.	1,628,666	0.33	4
32KEEFE, BRUYETTE & WOODS, INC.	1,561,549	0.32	2
33THOMAS WEISEL PARTNERS LLC	1,506,519	0.31	3
34HSBC SECURITIES	1,035,564	0.21	1
35DRESDNER KLEINWORT	1,018,059	0.21-	
36KEYBANC CAPITAL MARKETS INC.	952,120	0.2	6
37WILLIAM BLAIR & COMPANY, LLC.	840,635	0.17	5
38CRT CAPITAL GROUP LLC	827,307	0.17	30
39PALI CAPITAL LLC	764,958	0.16	12
40FOX-PITT KELTON, INC.	708,319	0.15	5
41MAXIM GROUP LLC	696,128	0.14	6
42SUNTRUST ROBINSON HUMPHREY	664,904	0.14	10
43JMP SECURITIES	565,005	0.12-	

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44THE INTERSTATE GROUP	521,097	0.11	0
45LEERINK SWANN & CO.	510,819	0.1	5
46MORGAN KEEGAN & CO., INC.	493,956	0.1	8
47CAPITAL INSTITUTIONAL SERVICES	487,406	0.1-	
48SIMMONS AND COMPANY INTERNATIO	484,218	0.1-	
49BMO CAPITAL MARKETS	478,638	0.1	24
50BB&T CAPITAL MARKETS	451,932	0.09	2

NASDAQ / OTC - National Market & Small Cap Market			
Top 50 Brokers	Advertised Vol (000)	% of Total	# of Sec Traded
1KNIGHT	30,446,379	12.24	3263
2UBS	28,930,369	11.63	3205
3MORGAN STANLEY	26,136,781	10.51	3083
4MERRILL LYNCH, PIERCE FENNER	17,985,136	7.23	3077
5CREDIT SUISSE	15,009,550	6.04	2923
6CITIGROUP	14,306,243	5.75	2643
7LEHMAN BROTHERS, INC.	13,032,007	5.24	2466
8JPMORGAN	11,422,758	4.59	2517
9INSTINET CORPORATION	10,537,818	4.24	2627
10GOLDMAN SACHS GROUP	9,047,914	3.64	2824
11DEUTSCHE BANK SECURITIES, IN	9,001,806	3.62	2436
12BANC OF AMERICA SECURITIES LLC	7,509,254	3.02	2155
13JEFFERIES & COMPANY, INC.	5,074,458	2.04	2008
14RBC CAPITAL MARKETS	4,704,171	1.89	1394
15ITG, INC.	3,103,354	1.25	2070
16PIPER JAFFRAY & CO.	2,718,384	1.09	1731
17COWEN & CO.	2,325,090	0.94	1413
18BEAR STEARNS AND COMPANY	2,222,342	0.89	2363
19CANTOR, FITZGERALD & CO., INC.	1,966,390	0.79	2078
20WACHOVIA SECURITIES	1,936,575	0.78	1215
21WEEDEN & COMPANY, INC.	1,912,820	0.77	1942
22THOMAS WEISEL PARTNERS LLC	1,730,728	0.7	1376
23OPPENHEIMER & CO	1,703,480	0.69	1268
24SUSQUEHANNA INTERNATIONAL GRP.	1,697,842	0.68	2564
25ROBERT W. BAIRD CO. INCORPORAT	1,556,046	0.63	2168
26BTIG - BASS TRADING	1,373,459	0.55	1446
27JONESTRADING INSTITUTIONAL SER	1,239,237	0.5	1684
28RAYMOND JAMES	1,173,664	0.47	1548
29SANFORD C. BERNSTEIN COMPANY,	1,019,612	0.41	1238
30STIFEL NICOLAUS CAPITAL MARKET	1,017,291	0.41	2407
31BNY CONVERGEX	949,298	0.38	1962
32FRIEDMAN, BILLINGS, RAMSEY & C	918,507	0.37	1069
33WILLIAM BLAIR & COMPANY, LLC.	807,843	0.32	1469
34PALI CAPITAL LLC	763,803	0.31	1147
35KEEFE, BRUYETTE & WOODS, INC.	636,434	0.26	1028
36NEEDHAM & COMPANY	597,782	0.24	1187

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37MAXIM GROUP LLC	588,266	0.24	1627
38LEERINK SWANN & CO.	579,489	0.23	685
39THINKEQUITY PARTNERS	562,982	0.23	883
40CANACCORD ADAMS	556,516	0.22	1057
41JMP SECURITIES	517,789	0.21	504
42PACIFIC CREST SECURITIES	509,360	0.2	311
43BENCHMARK COMPANY, LLC	501,804	0.2	1285
44WEDBUSH MORGAN SECURITIES	415,111	0.17	543
45HSBC SECURITIES	396,801	0.16	1085
46BMO CAPITAL MARKETS	372,404	0.15	424
47MERRIMAN CURHAN FORD & CO.	368,638	0.15	1028
48CRT CAPITAL GROUP LLC	344,545	0.14	326
49HUDSON SECURITIES	328,261	0.13	1402
50SUNTRUST ROBINSON HUMPHREY	307,631	0.12	1098

Listed Securities			
Non NY Brokers			
Top 25 Brokers	Advertised	% of Vol.	
Broker-Dealer	Vol.(000)	Crossed	
1BANC OF AMERICA SECURITIES LLC	11,553,691		2
2WEEDEN & COMPANY, INC.	4,910,986		16
3CANTOR, FITZGERALD & CO., INC.	3,328,582		14
4WACHOVIA SECURITIES	2,953,061		6
5SUSQUEHANNA INTERNATIONAL GRP.	2,574,045		1
6FRIEDMAN, BILLINGS, RAMSEY & C	2,084,082		9
7ROBERT W. BAIRD CO. INCORPORAT	1,968,183		4
8THOMAS WEISEL PARTNERS LLC	1,500,987		3
9WILLIAM BLAIR & COMPANY, LLC.	839,210		5
10THE INTERSTATE GROUP	520,664		0
11MORGAN KEEGAN & CO., INC.	493,520		8
12CAPITAL INSTITUTIONAL SERVICES	486,311		-
13SIMMONS AND COMPANY INTERNATIO	481,873		-
14ROCHDALE SECURITIES	345,981		1
15ABN-AMRO	296,390		0
16WEDBUSH MORGAN SECURITIES	294,266		3
17JOHNSON RICE & COMPANY	257,369		-
18STEPHENS INC.	248,302		2
19GREEN STREET ADVISORS	228,706		11
20MKM PARTNERS	207,420		4
21COLLINS STEWART	177,573		6
22MIDWEST RESEARCH	177,124		5
23THINKEQUITY PARTNERS	166,325		14
24D.A. DAVIDSON & CO.	133,976		1
25BULLTICK	102,624		-

NASDAQ / OTC – National Market

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& Small Cap Market			
Top 25 Non NY Brokers	Advertised		# of
Broker-Dealer	Vol.(000)		Stocks
1BANC OF AMERICA SECURITIES LLC	7,502,775		2,154
2WACHOVIA SECURITIES	1,930,089		1,213
3WEEDEN & COMPANY, INC.	1,908,269		1,939
4THOMAS WEISEL PARTNERS LLC	1,730,625		1,375
5SUSQUEHANNA INTERNATIONAL GRP.	1,697,194		2,555
6ROBERT W. BAIRD CO. INCORPORAT	1,555,651		2,161
7FRIEDMAN, BILLINGS, RAMSEY & C	913,029		1,068
8WILLIAM BLAIR & COMPANY, LLC.	807,676		1,463
9THINKEQUITY PARTNERS	562,736		882
10PACIFIC CREST SECURITIES	509,360		311
11WEDBUSH MORGAN SECURITIES	414,041		541
12STEPHENS INC.	294,202		825
13MORGAN KEEGAN & CO., INC.	268,797		519
14CRAIG-HALLUM CAPITAL GROUP	249,509		135
15CAPITAL INSTITUTIONAL SERVICES	181,767		1,026
16D.A. DAVIDSON & CO.	181,512		503
17PACIFIC GROWTH EQUITIES	146,271		440
18ROCHDALE SECURITIES	85,066		504
19MKM PARTNERS	76,842		520
20MIDWEST RESEARCH	73,305		326
21JOHNSON RICE & COMPANY	69,115		355
22THE INTERSTATE GROUP	57,972		503
23SIMMONS AND COMPANY INTERNATIO	51,334		200
24BULLTICK	32,459		189
25SANDERS MORRIS HARRIS	30,703		124

Ordinary Securities (Ex-Brazil)	Advertised	% of	# of
Top 25 Brokers	Vol (000)	Total	Stocks
1MORGAN STANLEY	2,800,400	29	87
2MERRILL LYNCH, PIERCE FENNER	2,639,149	27.33	72
3JPMORGAN	2,115,527	21.91	96
4CITIGROUP	1,489,209	15.42	103
5GOLDMAN SACHS GROUP	253,976	2.63	19
6BEAR STEARNS AND COMPANY	233,760	2.42	34
7UBS	63,042	0.65	62
8DEUTSCHE BANK SECURITIES, IN	35,947	0.37	41
9LEHMAN BROTHERS, INC.	6,629	0.07	17
10CREDIT SUISSE	5,277	0.05	27

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11KNIGHT	4,259	0.04	22
12HSBC SECURITIES	3,932	0.04	5
13MAXIM GROUP LLC	1,295	0.01	1
14ABN-AMRO	971	0.01	6
15DRESDNER KLEINWORT	497	0.01	11
16E-TRADE CAPITAL MARKETS	223	0	1
17RAYMOND JAMES	195	0	3
18TORONTO DOMINION SECURITIES (U	138	0	1
19GARBAN INSTITUTIONAL	100	0	1
20JEFFERIES & COMPANY, INC.	88	0	2
21SUNTRUST ROBINSON HUMPHREY	69	0	2
22CAZENOVE SECURITIES	50	0	1
23ROBERT W. BAIRD CO. INCORPORAT	48	0	5
24STIFEL NICOLAUS CAPITAL MARKET	47	0	2
25HUDSON SECURITIES	33	0	2

Ordinary Securities			
Top 25 Brokers	Advertised	% of	# of
Canada	Vol (000)	Total	Stocks
1MORGAN STANLEY	4,453,982	17.51	1116
2UBS	3,382,846	13.3	345
3KNIGHT	3,316,024	13.03	1099
4CREDIT SUISSE	2,834,235	11.14	502
5DEUTSCHE BANK SECURITIES, IN	1,841,688	7.24	546
6MERRILL LYNCH, PIERCE FENNER	1,706,159	6.71	433
7CITIGROUP	1,457,033	5.73	1063
8LEHMAN BROTHERS, INC.	736,151	2.89	329
9INSTINET CORPORATION	731,053	2.87	216
10JPMORGAN	637,040	2.5	263
11RBC CAPITAL MARKETS	615,884	2.42	119
12TORONTO DOMINION SECURITIES (U	501,833	1.97	257
13GOLDMAN SACHS GROUP	328,833	1.29	311
14ITG, INC.	321,695	1.26	146
15BMO CAPITAL MARKETS	234,152	0.92	72
16JEFFERIES & COMPANY, INC.	206,372	0.81	337
17SUSQUEHANNA INTERNATIONAL GRP.	197,249	0.78	134
18BANC OF AMERICA SECURITIES LLC	192,525	0.76	191
19DRESDNER KLEINWORT	154,938	0.61	642
20WEEDEN & COMPANY, INC.	103,651	0.41	127
21OPPENHEIMER & CO	92,827	0.36	120
22CANTOR, FITZGERALD & CO., INC.	86,032	0.34	94
23RAYMOND JAMES	71,462	0.28	346
24CANACCORD ADAMS	69,791	0.27	180
25JONESTRADING INSTITUTIONAL SER	67,007	0.26	146

Ordinary Securities

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Top 15 Brokers Europe	Advertised Vol (000)	% of Total	# of Stocks
1LEHMAN BROTHERS, INC.	156,588,066	15.19	3024
2UBS	146,033,498	14.17	3679
3DEUTSCHE BANK SECURITIES, IN	118,481,715	11.5	3829
4CREDIT SUISSE	105,394,526	10.23	2740
5CITIGROUP	94,865,061	9.21	3033
6JPMORGAN	60,071,963	5.83	2525
7MERRILL LYNCH, PIERCE FENNER	59,703,418	5.79	3129
8GOLDMAN SACHS GROUP	47,408,061	4.6	3470
9MORGAN STANLEY EUROPE	43,256,973	4.2	2513
10DRESDNER KLEINWORT	39,554,043	3.84	3788
11ABN-AMRO	38,907,234	3.78	2933
12HSBC SECURITIES	16,248,335	1.58	3885
13CAZENOVE SECURITIES	16,208,473	1.57	1592
14SHORE CAPITAL STOCKBROKERS LTD	12,539,656	1.22	1039
15ING FINANCIAL MARKETS	9,201,441	0.89	996

Ordinary Securities Top 15 Brokers Far East / Pac Rim	Advertised Vol (000)	% of Total	# of Stocks
1CITIGROUP	102,315,629	22.98	4544
2DEUTSCHE BANK SECURITIES, IN	100,623,342	22.6	5071
3HSBC SECURITIES	51,928,955	11.66	4123
4LEHMAN BROTHERS, INC.	38,941,636	8.75	4632
5MERRILL LYNCH, PIERCE FENNER	34,334,496	7.71	3222
6BNP PARIBAS	24,773,958	5.56	3766
7JPMORGAN	21,941,076	4.93	2163
8UBS	20,061,116	4.51	5194
9ABN-AMRO	16,881,870	3.79	1198
10MORGAN STANLEY ASIA	10,757,415	2.42	415
11BEAR STEARNS AND COMPANY	9,933,643	2.23	2779
12CREDIT SUISSE	5,422,465	1.22	1295
13DRESDNER KLEINWORT	1,906,033	0.43	1749
14INSTINET CORPORATION	1,766,355	0.4	1532
15MORGAN STANLEY	1,176,440	0.26	1088

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Ordinary Securities			
Top 15 Brokers	Advertised	% of	# of
Latin America (Ex. Brazil)	Vol (000)	Total	Stocks
1MORGAN STANLEY	2,800,400	29	87
2MERRILL LYNCH, PIERCE FENNER	2,639,149	27.33	72
3JPMORGAN	2,115,527	21.91	96
4CITIGROUP	1,489,209	15.42	103
5GOLDMAN SACHS GROUP	253,976	2.63	19
6BEAR STEARNS AND COMPANY	233,760	2.42	34
7UBS	63,042	0.65	62
8DEUTSCHE BANK SECURITIES, IN	35,947	0.37	41
9LEHMAN BROTHERS, INC.	6,629	0.07	17
10CREDIT SUISSE	5,277	0.05	27
11KNIGHT	4,259	0.04	22
12HSBC SECURITIES	3,932	0.04	5
13MAXIM GROUP LLC	1,295	0.01	1
14ABN-AMRO	971	0.01	6
15DRESDNER KLEINWORT	497	0.01	11

ADR Securities			
Top 15 Brokers	Advertised	% of	# of
Argentina	Vol (000)	Total	Stocks
1MERRILL LYNCH, PIERCE FENNER	38,716	85.27	15
2MORGAN STANLEY	3,811	8.39	7
3UBS	1,792	3.95	1
4JPMORGAN	674	1.48	6
5BEAR STEARNS AND COMPANY	188	0.41	2
6CITIGROUP	143	0.31	3
7SUNTRUST ROBINSON HUMPHREY	69	0.15	2
8LEHMAN BROTHERS, INC.	10	0.02	2
9CREDIT SUISSE	1	0	1



ADR Securities				
Top 15 Brokers				
Hong Kong	Advertised	% of	# of	
	Vol (000)	Total	Stocks	
1CITIGROUP	33,756,961	31.6	560	
2HSBC SECURITIES	17,096,511	16.01	630	
3DEUTSCHE BANK SECURITIES, IN	16,899,931	15.82	442	
4LEHMAN BROTHERS, INC.	9,789,959	9.17	485	
5MORGAN STANLEY ASIA	5,442,366	5.1	314	
6BNP PARIBAS	5,142,082	4.81	535	
7UBS	4,748,165	4.45	667	
8JPMORGAN	4,694,289	4.39	367	
9ABN-AMRO	4,008,505	3.75	141	
10BEAR STEARNS AND COMPANY	2,608,935	2.44	311	
11MORGAN STANLEY	848,539	0.79	384	
12INSTINET CORPORATION	659,206	0.62	228	
13DRESDNER KLEINWORT	434,261	0.41	253	
14MERRILL LYNCH, PIERCE FENNER	302,479	0.28	298	
15GOLDMAN SACHS GROUP	106,437	0.1	148	

Ordinary Securities				
Top 25 Brokers				
United Kingdom	Advertised	% of	# of	
	Vol (000)	Total	Stocks	
1UBS	98,439,876	15.55	1461	
2LEHMAN BROTHERS, INC.	95,725,722	15.12	919	
3DEUTSCHE BANK SECURITIES, IN	68,292,155	10.79	1384	
4CREDIT SUISSE	60,284,558	9.52	955	
5CITIGROUP	56,790,441	8.97	1105	
6MERRILL LYNCH, PIERCE FENNER	42,909,122	6.78	1108	
7GOLDMAN SACHS GROUP	29,617,337	4.68	1039	
8MORGAN STANLEY EUROPE	26,913,822	4.25	993	
9JPMORGAN	26,437,008	4.18	886	
10ABN-AMRO	25,056,811	3.96	1009	
11DRESDNER KLEINWORT	23,781,015	3.76	1344	
12CAZENOVE SECURITIES	14,630,140	2.31	852	
13SHORE CAPITAL STOCKBROKERS LTD	12,434,472	1.96	1009	
14HSBC SECURITIES	11,219,216	1.77	2121	
15EVOLUTION SECURITIES	7,103,057	1.12	664	
16NUMIS SECURITIES LIMITED	5,285,343	0.84	507	
17INVESTEC SECURITIES LTD	4,856,643	0.77	297	
18BEAR STEARNS AND COMPANY	4,037,031	0.64	632	
19PANMURE & CO. LTD	3,218,860	0.51	539	
20SANFORD C. BERNSTEIN COMPANY,	2,512,763	0.4	390	

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21EXANE BNP PARIBAS	2,195,068	0.35	348
22LANDSBANKI.	2,040,701	0.32	521
23INSTINET CORPORATION	2,016,196	0.32	727
24FOX-PITT KELTON, INC.	1,897,759	0.3	144
25ARBUTHNOT	1,661,656	0.26	430

Listed Securities			
Top 10 Brokers	Average		# of
Average Vol. Per Security	Vol (000)		Stocks
1UBS	3,733		15,034
2MORGAN STANLEY	3,625		15,253
3MERRILL LYNCH, PIERCE FENNER	3,490		15,086
4KNIGHT	3,448		11,858
5CITIGROUP	3,153		9,340
6CREDIT SUISSE	3,143		10,986
7LEHMAN BROTHERS, INC.	3,002		8,255
8INSTINET CORPORATION	2,684		6,059
9ROBERT W. BAIRD CO. INCORPORAT	2,672		737
10JPMORGAN	2,656		9,075

NASDAQ/OTC Securities			
Top 10 Brokers	Average		# of
Average Vol. Per Security	Vol (000)		Stocks
1KNIGHT	3,252		9,251
2UBS	3,191		8,991
3MORGAN STANLEY	3,069		8,500
4MERRILL LYNCH, PIERCE FENNER	3,064		5,858
5CREDIT SUISSE	2,914		5,143
6GOLDMAN SACHS GROUP	2,812		3,202
7CITIGROUP	2,627		5,426
8INSTINET CORPORATION	2,619		4,019
9SUSQUEHANNA INTERNATIONAL GRP.	2,555		664
10JPMORGAN	2,507		4,554